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Date: 14 December 2005

Ms Abigail Grenfell
Manager - Network Regulation
Office of Rail Regulation
1 Waterhouse Square
138-142 Holborn
London EC1N 2TQ

Dear Abigail

**Enforcement Policy & Penalties Statement
Draft for Consultation**

Thank you for consulting Merseytravel on the Enforcement Policy in Chapter 3 and the Penalties Statement in Chapter 4 of the above document.

Enforcement

- (a) I am content that your approach is one of firm but fair enforcement and will be one of consistency of approach and transparency.

Penalties

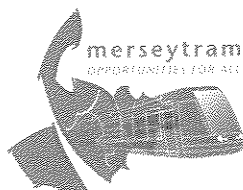
- (a) I agree with your general approach in deciding whether it would be appropriate or not to impose a penalty and the five principles that will be taken into account.
- (b) I agree with the factors that will be taken into account when assessing the amount of a penalty.
- (c) I agree that any potential penalty or sum imposed should be greater than the cost of compliance.

None of the above is confidential and I trust this is helpful.

Regards.

Yours sincerely

Neil Scales
Chief Executive and Director General



Merseyside Passenger Transport Authority and Executive
Chief Executive & Director General - Neil Scales
Director of Resources - Jim Barclay Director of Operations - Alan Stilwell

