



# SAFETY CERTIFICATE APPLICATION

Application for Safety Certificates confirming acceptance of the railway undertaking's Safety Management System - Safety Certificate (Part A) - and/or confirming acceptance of provisions adopted by the railway undertaking to meet specific requirements necessary for safe operation on the relevant network - Safety Certificate (Part B) - in conformity with Directive 2004/49/EC and applicable national legislation

Safety authority reference number

## SAFETY ORGANISATION/AUTHORITY CONTACT INFORMATION

1.1 Safety organisation/authority addressed for the request \_\_\_\_\_

1.2 Complete postal address (street, postal code, city, country) \_\_\_\_\_

2.1 This application is for a PART A CERTIFICATE

2.2 New certificate

2.4 Updated/amended certificate

2.3 Renewed certificate

2.5 EU Identification Number of the previous Part A Certificate \_\_\_\_\_

Type(s) of service(s) requested (select one or more) and estimated total volume of goods/passengers

Passenger transport 2.6 including high-speed services  2.8 Less than 200 million passenger-km per year

2.7 excluding high-speed services  2.9 200 million or more passenger-km per year

Freight transport 2.10 including dangerous goods services  2.12 Less than 500 million tonne-km per year

2.11 excluding dangerous goods services  2.13 500 million or more tonne-km per year

2.14 Shunting only

2.15 Service to begin in \_\_\_\_\_

The applying railway undertaking belongs to the following categories for estimated number of employees

2.16 Micro enterprise  2.18 Medium sized enterprise

2.17 Small enterprise  2.19 Large enterprise

3.1 This application is for a PART B CERTIFICATE

3.2 New certificate

3.4 Updated/amended certificate

3.3 Renewed certificate

3.5 EU Identification Number of the previous Part B Certificate \_\_\_\_\_

Type(s) of service(s) requested and estimated volume of goods/passengers on the network where Part B will apply (one or more to be selected)

Passenger transport 3.6 including high-speed services  3.8 Less than 200 million passenger-km per year

3.7 excluding high-speed services  3.9 200 million or more passenger-km per year

Freight transport 3.10 including dangerous goods services  3.12 Less than 500 million tonne-km per year

3.11 excluding dangerous goods services  3.13 500 million or more tonne-km per year

3.14 Shunting only

3.15 Service to begin in \_\_\_\_\_

3.16 Lines intended to be operated

If the applicant already holds a valid Part A Certificate (acceptance of the Safety Management System) it should provide the following information

3.17 EU Identification Number of Safety Certificate – Part A \_\_\_\_\_

3.18 State that has issued the Safety Certificate – Part A \_\_\_\_\_

**IF THE APPLICANT ALREADY HOLDS ONE (OR MORE) VALID PART B CERTIFICATE(S) IT SHOULD PROVIDE THE FOLLOWING INFORMATION**

4.1 EU Identification Number(s) of issued Safety Certificate(s) – Part B \_\_\_\_\_  
\_\_\_\_\_

**IF THE APPLICANT HAS A LICENCE AND IS APPLYING FOR PART A AND/OR PART B CERTIFICATE(S) IT SHOULD PROVIDE THE FOLLOWING INFORMATION**

4.2 EU Notification Number of the Licence \_\_\_\_\_

4.3 State that has issued the Licence \_\_\_\_\_

**APPLICANT'S INFORMATION**

5.1 Legal denomination \_\_\_\_\_

5.2 Railway undertaking name \_\_\_\_\_ 5.3 Acronym \_\_\_\_\_

5.4 Complete postal address (street, postal code, city, country) \_\_\_\_\_  
\_\_\_\_\_

5.5 Phone number \_\_\_\_\_ 5.6 Fax number \_\_\_\_\_

5.7 Email address \_\_\_\_\_ 5.8 Website \_\_\_\_\_

5.9 National registration number \_\_\_\_\_ 5.10 VAT No \_\_\_\_\_

5.11 Other information \_\_\_\_\_

**Contact person information**

6.1 Family name and first name \_\_\_\_\_

6.2 Complete postal address (street, postal code, city, country) \_\_\_\_\_  
\_\_\_\_\_

6.3 Phone number \_\_\_\_\_ 6.4 Fax number \_\_\_\_\_

6.5 Email address \_\_\_\_\_

Applicant \_\_\_\_\_  
(first name, family name)

Date \_\_\_\_\_

Signature \_\_\_\_\_

Internal reference number \_\_\_\_\_

Date application received \_\_\_\_\_

SPACE RESERVED FOR THE ADDRESSED OFFICE/AUTHORITY



# FRONT PAGE FOR ANNEXES TO THE APPLICATION FORM

## DOCUMENTS SUBMITTED FOR

### PART A

- 7.1  Summary of the manual of the Safety Management System as referred to in Art. 9 and Annex III of Directive 2004/49/EC
- 7.2  Copy of the Licence (if applicable) 7.3  Not applicable

### PART B

- 8.1  Copy of the Part A Certificate
- 8.2  Copy of the Licence (if applicable) 8.3  Not applicable
- 8.4  Copy of insurance or financial cover for liability, annexed to the Licence
- 8.5  List of necessary rules and TSI's with reference to the processes in the Safety Management System and documents how they are implemented
- 8.6  List of different categories of staff, either employed or contracted
- 8.7  Description of staff related processes of the Safety Management System required by national rules or TSI's and reference to the national relevant certificates where necessary
- 8.8  List of different types of rolling stock
- 8.9  Description of rolling stock related processes in the Safety Management System required by national rules or TSI's and reference to the national relevant certificates where necessary
- 8.10  Other (specify)

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Internal reference number

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Date application received

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